



ASTHMA PATIENT-CENTERED RESEARCH TRAINING



Asthma and Allergy
Foundation of America

WITH FUNDING BY
PATIENT-CENTERED
OUTCOMES RESEARCH
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PLEASE TAKE YOUR PRE-SURVEY PRIOR TO THE START OF TRAINING TODAY.

INTRODUCTION

Welcome to the Asthma Patient-Centered Research Training. This workshop was created by the Asthma and Allergy Foundation of America (AAFA) with grant funding from the Patient-Centered Outcomes Research Institute (PCORI).

The **Asthma and Allergy Foundation of America (AAFA)**, a nonprofit organization founded in 1953, is the leading patient organization for people with asthma and allergies, and the oldest asthma and allergy patient group in the world. AAFA is dedicated to improving quality of life for people with asthma and allergic diseases through education, advocacy, and research. The organization offers resources and advocacy for people affected with asthma and allergies, healthcare providers, and policymakers. AAFA's goal is for those with asthma and allergies to live life without limits.

The **Patient-Centered Outcomes Research Institute (PCORI)** is an independent nonprofit, non-governmental organization located in Washington, DC, and authorized by Congress in 2010. PCORI's goal is to improve the quality and relevance of evidence available to help patients, caregivers, clinicians, employers, insurers, and policymakers make informed health decisions. The organization provides grants to fund comparative effectiveness research (CER), as well as supporting work that will improve the methods used to conduct such studies.

Training Introductions

- Name
 - What do you like to do?
 - Do you have asthma? Or, are you a parent, friend, or caregiver of someone with asthma?
 - What do you want to get out of today?
 - Something special about you?
-

Training Topics

- Introductions
- Overview of Asthma
- Asthma Research
- Clinical Research
- Comparative Effectiveness Research
- Patient-Centered Outcomes Research Institute (PCORI)
- Asthma Articles – How to find out more!

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Training Agenda

- Registration & Pre-survey
- Introductions
- Overview of Asthma
- Break
- Clinical Research & Research Process
- Research Match Game
- Break
- More about PCORI
- Research Expert
- Post-survey & Lunch

Asthma is a life-long illness affecting millions of Americans. Currently, this illness can be treated but not cured. People who have asthma must make daily decisions on how best to deal with their condition. These decisions include which medications to take and when, which healthcare provider to see, and what treatments are best for them. In today's training, you will learn about asthma, clinical research, asthma research priorities, and how patients can become involved in shaping the agenda for future research.

This training was designed to provide you with the following:

- Information about asthma and asthma research
- Questions to ask experts about asthma and research
- How to participate in the research process
- Resources to help you make decisions about the treatment and control of asthma
- How to review asthma publications and websites that provide accurate information

Who is the Pretend family and why are they included in the training?

The Pretend family is just that, pretend. The father is Marcus, age 42; the mother is Candice, age 38; the children are Tabitha, age 12, Jay, age 8, and Samantha, age 15 months. They were created to help make this training patient-focused. (Candice the mother will be your guide during the training.)

The Participant Manual includes information and facts about asthma, space to write your own notes, a resource list, and a glossary of terms. The glossary includes definitions of words, terms, and abbreviations to help you better understand today's training. (Terms defined in the glossary will appear in italics in your manual.)

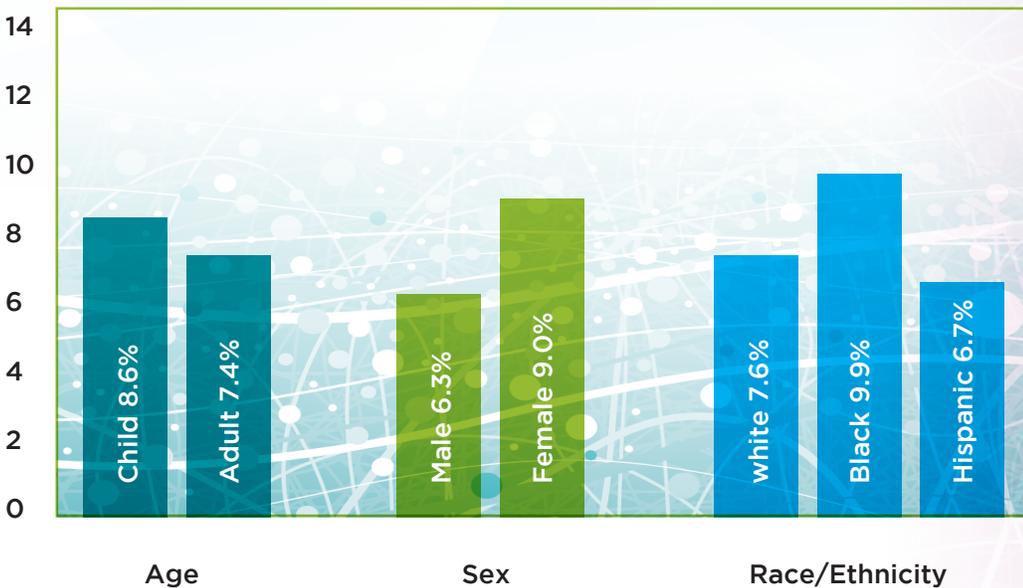
Before talking about research, we will begin with an overview of asthma to make sure everyone has the latest information about the disease.



What is asthma?

Asthma is a *chronic disease* that affects the airways in the lungs. During an asthma attack, airways become inflamed, making it hard to breathe. Asthma attacks can be mild, moderate, or serious—even life threatening. A chronic disease is a long-standing illness that cannot be cured, only treated. The graph below shows the age, gender, and race/ethnicity of people in the United States with asthma. The rate of asthma is higher in children, females, and blacks.

Current Asthma Prevalence Percents by Age, Sex, and Race/Ethnicity, United States, 2014



Source: National Health Interview Survey, National Center for Health Statistics, Centers for Disease Control and Prevention

<https://www.cdc.gov/asthma/asthmaadata.htm>

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What is the difference in medical research and medical treatment?

	Clinical/Medical Research	Medical Treatment
Intent	Answers specific questions through research involving numerous research volunteers.	Addresses the needs of individual patients.
Intended Benefit	Generally designed and intended to benefit future patients.	Intended to benefit the individual patient.
Funding	Paid for by drug developers and government agencies.	Funded by individual patients and their health plans.
Timeframe	Depends on research protocols.	Requires real-time decisions
Consent	Requires written informed consent.	May or may not require informed consent.
Assessment	Involves periodic and systematic assessment of patient data.	Based on as-needed patient assessment.
Protections	Protected by government agencies, institutional review boards, professional standards, informed consent, and legal standards.	Guided by state boards of medical practice, professional standards, peer review, informed consent, and legal standards.
Certainty	Tests products and procedures of unproven benefit to the patient.	Uses products and procedures accepted by the medical community as safe and effective.
Access to Information	Considered confidential intellectual property.	Available to the general public through product labeling.
Release of Findings	Published in medical journals after clinical research ends.	Individual medical records are not released to the general public.

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What is a clinical trial?

A well-designed clinical trial is the best way to prove that a treatment or medical approach works. In a clinical trial, participants receive specific treatments or *interventions* based on a research plan (also called research *protocol*). These interventions can be a medical product such as a drug or device, a procedure such as a surgery, or changes to the participants' behavior such as diet or exercise. A comparison is made between a new drug, procedure, or change in behavior to a standard one that is already in use, or between a new medication and *placebo* (a pill or *inhaler* that appears like the medication but contains no active ingredients).

Comparative studies look at the differences and similarities between interventions or medications that are already available (such as comparing one type of asthma inhaler to another). When a new product or approach is being studied, it is usually not known whether it will be helpful, harmful, or no different than available alternatives (including no intervention or treatment). Researchers try to determine the safety and effectiveness of the intervention by measuring certain outcomes in participants.

What are the phases of clinical trials?

PHASE I

Purpose: Find out whether a medical approach (e.g., drug, *diagnostic* test, device) is safe, identify side effects, and figure out appropriate doses.
Number of participants: typically fewer than 100.

PHASE II

Purpose: Begin testing whether a medical approach works, continue *monitoring* for side effects, and gather information for designing a large, phase III trial.
Number of participants: typically 100–300.

PHASE III

Purpose: Prove whether a medical approach works and continue monitoring side effects.
Number of participants: as many as needed or are able to enroll—can be 1,000 or more.

PHASE IV

Purpose: While a medical approach is being marketed, continue gathering information on its effects.
Number of people: thousands.

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... CLINICAL RESEARCH continued from page 17

What is an observational study? Are there different types of observational studies?

In an observational study, researchers observe participants rather than conducting experiments or testing new treatments. This type of study helps researchers understand a situation and come up with ideas that can later be tested in a clinical trial. Observational studies help to find connections—between medications and symptoms, for example—but cannot prove that one thing causes another.

The following is a list of different types of observational studies:

CASE STUDY/CASE SERIES

A detailed description of one or more patients. By documenting new and unusual cases, researchers start to generate hypotheses about causes or *risk factors*.

ECOLOGICAL STUDY

Compares the rate (occurrence) of a disease or condition for specific groups of people, such as towns in different climates or with different average incomes.

CROSS-SECTIONAL STUDY

A snapshot of many people at one moment in time. These studies can show how common a condition is and help identify factors associated with it.

CASE-CONTROL STUDY

A group of people who have a condition is compared to a control group of people who do not. Possible causes or risk factors can emerge.

COHORT STUDY

A large group of people is observed over time. Some eventually develop a disease or condition. Researchers can learn how often a condition occurs and find possible causes or risk factors.

What type of study provides the best evidence?

Randomized controlled trials (RCT) prove whether a treatment or intervention works. Meta-analyses and systematic reviews combine multiple randomized controlled trials to provide additional evidence of benefit. Most treatment guidelines use the evidence and conclusions from randomized controlled trials, meta-analyses, and systematic reviews to make recommendations for treatment.

NOTES:

**RESEARCH
EXPERT**

NOTES:

CONCLUSION

PLEASE COMPLETE YOUR POST-SURVEY AND RETURN IT TO THE TRAINING STAFF.

Adverse event: An unfavorable change in the health of a participant, such as abnormal laboratory findings, that happens during a clinical study or within a certain time period after the study has ended. This change may or may not be caused by the intervention being studied.

Airway obstruction: A partial or complete blockage of the airway.

Albuterol: A bronchodilator (airway-opening) medication for quick relief of asthma symptoms. May be taken in aerosol or tablet form.

Allergen: A substance (such as a food or pollen) that your body perceives as dangerous and therefore causes an allergic reaction.

Allergy: An immune response to a substance or condition produced by the release of histamine or histamine-like substances by effected cells. May result in sneezing, runny nose, hives, coughing, wheezing, and other symptoms.

Allergy antibodies: Produced by the immune system in response to allergens. Usually causes an allergic reaction resulting in symptoms such as a runny nose, scratchy throat, or itchy skin. See also *histamine*.

Alveoli: Small, thin-walled sacs located at the ends of the smallest airways in the lungs where the exchange of oxygen and carbon dioxide takes place.

Antibiotic: Medication used to treat infection caused by bacteria. Antibiotics do not protect against viruses and do not prevent the common cold.

Anticholinergic (also called cholinergic blockers or “maintenance” bronchodilators): This type of medicine relaxes the muscle bands around the airways, opening the airways and letting more air out of the lungs to improve breathing. Anticholinergics also help clear mucus from the lungs.

Antihistamine: Medication that stops the action of histamine, which causes allergy symptoms such as itching and swelling.

Anti-inflammatory: Medication that reduces inflammation (swelling in the airway).

Asthma: A disease of the airways or branches of the lungs (bronchial tubes) that carry air in and out of the lungs. Asthma causes the airways to narrow, the lining of the airways to swell, and the cells that line the airways to produce more mucus. These changes make breathing difficult and cause the feeling of breathlessness. Common symptoms include coughing, shortness of breath, wheezing, chest tightness, and excess mucus production.

Bacteria: Infectious organisms that may cause sinusitis, bronchitis, or pneumonia.

Baseline characteristics: Data collected at the beginning of a clinical study for all participants and for each comparison group. These data include demographics (such as age and gender) and study-specific measures (for example, systolic blood pressure or prior antidepressant treatment).

Beta 2-agonists: A bronchodilator medication that opens the airways of the lungs by relaxing the muscles around the airways that have tightened (bronchospasm). These medications may be short-acting (quick-relief) or long-acting (control) medications. Short-acting beta 2-agonists are used to relieve asthma symptoms when they occur.

Breath sounds: Lung sounds heard through a stethoscope.

Breathing rate: The number of breaths per minute.

Bronchial tubes: Airways in the lungs that branch from the trachea (windpipe).

Bronchioles: The smallest branches of the airways in the lungs which connect to the alveoli (air sacs).

Bronchitis: Inflammation of the bronchial tubes that usually results in bronchospasm and coughing.

Bronchoconstriction: Narrowing of the airways caused by contraction of the smooth muscles that surround them.

Bronchodilator: A drug that relaxes the muscle bands that tighten around the airways with asthma. Bronchodilators can also help clear mucus from the lungs.

Bronchospasm: The tightening of the muscle bands that surround the airways, causing the airways to narrow.

Carbon dioxide: A colorless, odorless gas that is formed in the tissues and delivered to the lungs to be exhaled.

Case study: A type of observational study in which a detailed description of one or more patients is given to allow researchers to form hypotheses about causes or risk factors of the condition.

Case-control study: A type of observational study in which a group of people who have a condition is compared to a control group of people who do not.

Cell: The basic unit of all living organisms.

Chronic disease: A disease that can be controlled, but not cured.

Cilia: Hair-like structures that line the airways in the lungs and help to clean out the airways.

Clinical trials: Research programs conducted with patients to evaluate a new medical treatment, drug, or device. The purpose of clinical trials is to find new and improved methods of treating different diseases and special conditions.

Cohort study: A type of observational study in which a large group of people is observed over time. This allows researchers to learn how often a condition occurs and find possible causes or risk factors.

Comparative effectiveness research (CER): Research that compares drugs, medical devices, tests, or surgeries to determine which treatment or intervention is most effective.

Condition: The disease, disorder, syndrome, illness, or injury that is being studied. According to **ClinicalTrials.gov**, conditions may also include other health-related issues, such as lifespan, quality of life, and health risks.

Consumer: A person who purchases or utilizes a good or service.

Contraindication: A reason not to use a course of treatment or medication.

Controlled trial: A type of clinical trial in which observations made during the trial are compared to a standard called the control. The control may be observations of a group of participants in the same trial or observations from outside the trial (from an earlier trial, for example, which is called a historical control).

Controller medication: A medication taken long-term for prevention and control of asthma and asthma symptoms.

Corticosteroid: A type of steroid hormone used to treat inflammation.

Cross-over design: A type of intervention model (design). Describes a clinical trial in which groups of participants receive two or more interventions in a particular order. For example, a two-by-two cross-over design involves two groups of participants. One group receives drug A during the initial phase of the trial, followed by drug B during a later phase. The other group receives drug B during the initial phase, followed by drug A. Participants “cross-over” to the other drug during the trial. All participants receive drug A and drug B at some point during the trial, but in a different order depending on the group to which they are assigned.

Cross-sectional study: A type of observational study. A snapshot of many people at one moment in time is examined to determine how common a condition is and help identify factors associated with it.

Dander, animal: Tiny scales shed from animal skin or hair. Dander floats in the air, settles on surfaces, and is a major part of household dust. Cat dander is a common cause of allergic reactions.

Data: Facts and statistics collected together for reference or analysis. In research, data is collected, observed, or created for purposes of analysis to produce research results.

Decongestant: Medication that shrinks swollen nasal tissues to relieve symptoms of nasal swelling, congestion, and mucus secretion.

Dehydration: Excessive loss of water.

Diagnostic: Relating to or used for the diagnosis of a disease, illness, or problem.

Diaphragm: The major muscle used for breathing, located at the base of the lungs.

Disparity: A great difference.

Double blind masking: A type of masking in which two or more parties involved in the clinical trial do not know which participants have been assigned which interventions. Typically, the parties include the investigators and participants.

Dry powder inhaler (DPI): A device for inhaling respiratory medications that come in powder form.

Dust mites: Microscopic bugs that live in household dust and are a common trigger for allergies. See also *allergen*.

Dyspnea: Shortness of breath.

Ecological study: A type of observational study that compares the occurrence of a disease or condition for specific groups of people—such as towns in different climates or with different average incomes.

Eligibility criteria: The key standards that people who want to participate in a clinical study must meet or the characteristics they must have. Eligibility criteria include both inclusion

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criteria and exclusion criteria. For example, a study might only accept participants who are above or below certain ages.

Enrollment: The number of participants in a clinical study. The “estimated enrollment” is the number of participants that the researchers need for the study.

Exacerbation: An increase in the severity of a disease or its symptoms—asthma worsening or an asthma attack.

Exclusion criteria: The factors (or reasons) that prevent a person from participating in a clinical study.

Exercise-induced asthma: Asthma that is made worse when exercising.

Exhalation: Breathing air out of the lungs.

Expanded access: The use of an intervention outside of a clinical trial for people with serious or life-threatening conditions that do not meet the trial criteria.

Factorial design: A type of intervention model (design). Describes a clinical trial in which multiple interventions are evaluated in a single study, either independently or together, in order to investigate treatment interactions.

Family-based study: A type of observational study that focuses on relatives to determine genetic factors associated with a condition.

Food and Drug Administration (FDA): An agency within the U.S. Department of Health and Human Services. FDA is responsible for protecting the public health by making sure that human and veterinary drugs, vaccines, and other biological products, medical devices, the Nation’s food supply, cosmetics, dietary supplements, and products that give off radiation are safe, effective, and secure.

Funder type: Describes the organization that provides funding or support for a clinical study. Support may include providing facilities, expertise, or financial resources. Organizations listed as sponsors and collaborators for a study are considered the funders of the study. There are four types of funders: National Institutes of Health; other U.S. federal agencies (the Food and Drug Administration, Centers for Disease Control and Prevention, U.S. Department of Veterans Affairs, for example); industry (such as pharmaceutical and device companies); and all others (including individuals, universities, and community-based organizations).

Health services research: A field of investigation that studies how things like social factors, organizational processes, health technologies, and personal behaviors affect access to and quality of healthcare, and ultimately the health and well-being of individuals, families, and communities.

HEPA (high-efficiency particulate air filter): A filter that removes particles in the air by forcing it through screens containing microscopic pores.

Histamine: A naturally occurring substance that is released by the immune system after being exposed to an allergen. When you inhale an allergen, special cells called mast cells located in the nose and lungs release histamine. Histamine then attaches to receptors on nearby blood vessels, causing them to dilate (enlarge). Histamine also binds to other receptors located in nasal tissues, causing redness, swelling, itching, and changes in the secretions.

Holding chamber: See *spacer*.

Human subjects review board: A group of people who review, approve, and monitor the clinical study protocol. Their role is to protect the rights and welfare of human research subjects

participating in a study. The group typically includes people with varying backgrounds, including a community member, to make sure that research activities conducted by an organization are completely and adequately reviewed. Also known as an institutional review board (IRB) or ethics committee.

Humidification: The act of moisturizing the air with molecules of water.

Hyperresponsiveness: Increased sensitivity of the airway to stimuli causing bronchoconstriction.

Hyperventilation: Excessive rate and depth of breathing.

Hypothesis: An idea or theory about research outcomes that has not yet been proven.

Immune system: The body's defense system that protects against infections and foreign substances.

Inclusion criteria: The factors (or reasons) that allow a person to participate in a clinical study.

Indication: A symptom that suggests medical treatment is necessary—a reason to use treatment.

Inflammation: A response in the body that may include swelling and redness.

Informed consent: A process used by researchers to communicate with potential and enrolled participants about a clinical study. As part of the informed consent process, researchers provide all important information about the study so potential participants can decide whether to enroll or continue to participate in the trial. The informed consent ensures that potential participants understand the risks and potential benefits of participating in the study and the alternatives to the research being conducted. Enrolling in, and staying in, a clinical study is completely voluntary. Because giving consent to participate in research is not a contract, participants may leave a study at any time. The goal of the informed consent process is to protect participants. It begins when a potential participant first asks for information about a study and continues throughout the study until it ends. The researcher and potential participant will have discussions that include answering the participant's questions about the research. However, all important information about the study must also be given to the potential participant in a written document that is clear and easy to understand. The informed consent document is reviewed and approved by the institutional review board before the document is given to potential participants. Generally, a person must sign an informed consent document to enroll in a clinical study.

Inhalation: Breathing air into the lungs.

Inhaler: See *metered dose inhaler (MDI)*.

Institutional review board (IRB): A committee of doctors, researchers, professors, and community members that approve, monitor, and review research proposals to protect the rights and welfare of participants.

Intervention: A process or action that is the focus of a clinical study. Interventions include drugs, medical devices, procedures, vaccines, and other products that are either under investigation or already available. Interventions can also include noninvasive approaches, such as surveys, education, and interviews.

Intervention model (design): The general design of the strategy for assigning interventions to participants in a clinical study. Types of intervention models include single group design, parallel design, cross-over design, and factorial design.

Intervention name: The intervention being studied.

Intervention type: The general category of the intervention being studied. Intervention types include drug, device, biological/vaccine, and procedure/surgery, among others.

Interventional study (or clinical trial): A clinical study in which participants are assigned to receive one or more interventions (or no intervention) so that researchers can evaluate the effects of the interventions on biomedical or health-related outcomes. The assignments are determined by the study protocol. Participants may receive diagnostic, therapeutic, or other types of interventions.

Investigational new drug: A drug or biological product that is used in a clinical trial but has not been approved by the Food and Drug Administration (FDA). (The drug is either unavailable for prescription or is available but has not been approved by the FDA for the use being studied.)

Investigator: A researcher involved in a clinical study. Related terms include Site Principal Investigator, Site Sub-Investigator, Study Chair, Study Director, and Study Principal Investigator.

Irritants: Things that bother the nose, throat, or airways when they are inhaled (not an allergen).

Leukotriene modifier: A drug that blocks chemicals called leukotrienes in the airways. Leukotrienes occur naturally in the body and cause tightening of airway muscles and production of excess mucus and fluid. By blocking leukotrienes, leukotriene modifiers decrease these reactions.

Masking (or blinding): A clinical trial design strategy in which one or more parties involved in the trial, such as the investigator or participants, do not know which participants have been assigned which interventions. Types of masking include single blind masking, and double blind masking.

Medical history: A list of a person's previous illnesses, present conditions, symptoms, medications, and health risk factors.

Metered dose inhaler (MDI): A small aerosol canister that releases a mist of medication. This drug can be breathed into the airways. Many asthma medications are taken using an MDI.

Mold: Parasitic, microscopic fungi with spores that float in the air like pollen. Mold is a common trigger for allergies and can be found in damp areas such as a basement or bathroom, as well as outdoors in grass, leaf piles, hay, mulch, or under mushrooms.

Monitoring: To observe and check the progress of something over a period of time.

Mucus: A material produced by glands in the airways, nose, and sinuses. Mucus cleans and protects certain parts of the body, such as the lungs.

Nasal spray: Medication used to help prevent and treat nasal congestion or nasal allergy symptoms. Available by prescription or over-the-counter in decongestant, corticosteroid, or salt-water solution form.

Naturopathic medicine: Emphasizes natural techniques such as diet and exercise for treating disease rather than drugs or surgery.

Nebulizer: A machine that changes liquid medicine into fine droplets (in aerosol or mist form) that are inhaled through a mouthpiece or mask. Nebulizers can be used to deliver bronchodilator (airway-opening) drugs such as albuterol and Atrovent, as well as anti-inflammatory or steroid medicines (Pulmicort Respules). A nebulizer may be used instead of a metered dose inhaler (MDI). It is powered by a compressed air machine and plugs into an electrical outlet.

Non-steroidal: Anti-inflammatory medication that is not a steroid. See also *steroid*.

Nutritionist: A person who studies nutrition.

Observational study: A clinical study in which participants identified as belonging to study groups are assessed for biomedical or health outcomes. Participants may receive diagnostic, therapeutic, or other types of interventions, but the investigator does not assign participants to specific interventions (as in an interventional study).

Observational study model (design): The general design of the strategy for identifying and following up with participants during observational studies. Types of observational study models include cohort, case-control, case-only, case-cross-over, ecologic or community studies, family-based, and other.

Open label: Describes a clinical trial in which masking is not used. This means that all parties involved in the trial know which participants have been assigned which interventions.

Other adverse event: An adverse event that is not a serious adverse event, meaning that it does not result in death, is not life-threatening, does not require inpatient hospitalization or extend a current hospital stay, does not result in an ongoing or significant incapacity or interfere substantially with normal life functions, and does not cause a congenital anomaly or birth defect. It also does not put the participant in danger and does not require medical or surgical intervention to prevent one of the results listed above.

Outcome measure: A planned measurement described in the protocol that is used to determine the effects of interventions on participants in a clinical trial. For observational studies, a measurement or observation that is used to describe patterns of diseases or traits, or associations with exposures, risk factors, or treatment. Types of outcome measures include primary outcome measure and secondary outcome measure.

Oxygen: The essential element in the respiration process that sustains life. This colorless, odorless gas makes up about 21% of the air.

Parallel design: A type of intervention model (design). Describes a clinical trial in which two or more groups of participants receive different interventions. For example, a two-arm parallel design involves two groups of participants. During the trial, participants in one group receive drug A “in parallel” to participants in the other group, who receive drug B.

Participant flow: A summary of the progress of participants through each stage of a clinical study, by study group. This includes the number of participants who started, completed, and dropped out of the study.

Patient partners: Members of the research team who also have the condition being studied. They participate in the complete research process, including the proposal and funding, recruitment of participants, implementation of the study, and analysis of the research results.

Peak expiratory flow rate: A test used to measure how fast air can be exhaled from the lungs.

Peak flow meter: A small hand-held device that measures how fast air comes out of the lungs when a person exhales forcefully. This measurement is called a peak expiratory flow (PEF) and is measured in liters per minute (lpm). A person’s PEF may drop hours or even days before asthma symptoms are noticeable. Readings from the meter can help the patient recognize early changes that may be signs of worsening asthma. A peak flow meter can also help the patient learn what triggers his or her symptoms and understand what symptoms indicate that emergency care is needed. Peak flow readings also help the doctor decide when to stop or add medications.

Personal best PEF (peak exploratory flow): The highest peak flow number a person can achieve when symptoms are under good control. The personal best PEF is the number to which all other peak flow readings will be compared. In children, peak expiratory flow rates are based on how tall the child is. Therefore, the personal best peak expiratory flow will change as growth occurs. A child’s

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personal best peak expiratory flow should be re-determined approximately every 6 months or when a growth spurt has occurred.

Pharmaceutical: Relating to the manufacturing and sale of medicinal drugs.

Phase: Food and Drug Administration (FDA) descriptions of the clinical trial of a drug based on the study's characteristics, such as the objective and number of participants. There are five phases. Phase 0: Exploratory study involving very limited human exposure to the drug, with no therapeutic or diagnostic goals (for example, screening studies, microdose studies). Phase 1: Studies that are usually conducted with healthy volunteers and that emphasize safety. The goal is to find out what the drug's most frequent and serious adverse events are and, often, how the drug is metabolized and excreted. Phase 2: Studies that gather preliminary data on effectiveness (whether the drug works in people who have a certain disease or condition). For example, participants receiving the drug may be compared to similar participants receiving a different treatment (usually an inactive substance called a placebo or a different drug). Safety continues to be evaluated, and short-term adverse events are studied. Phase 3: Studies that gather more information about safety and effectiveness by studying different populations and different dosages and by using the drug in combination with other drugs. Phase 4: Studies occurring after FDA has approved a drug for marketing. These include post-market requirement and commitment studies that are required of or agreed to by the study sponsor. These studies gather additional information about a drug's safety, efficacy, or optimal use.

Placebo: A substance that does not contain active ingredients and is made to be physically indistinguishable (looks and tastes identical) from the actual drug being studied.

Pneumonia: An infection of the lungs that can be caused by bacteria, a virus, or fungus.

Pollen: A fine, powdery substance released by plants and trees that is a common trigger of allergies. See also *allergen*.

Pollen and mold counts: A measure of the amount of allergens in the air. The counts are usually reported for mold spores and three types of pollen: grasses, trees, and weeds. The count is reported as grains per cubic meter of air and is translated into a corresponding level (absent, low, medium, or high).

Preventative: Relating to or used for the prevention of a disease, illness, or problem.

Primary outcome measure: The planned outcome measure in the protocol that is the most important for evaluating the effects of an intervention. Most clinical studies have one primary outcome measure, though some may have more.

Primary purpose: The main reason for a clinical trial. Types of primary purposes are treatment, prevention, diagnostic, supportive care, screening, health services research, basic science, and other.

Principal investigator (PI): The person who is responsible for the scientific and technical direction of the entire clinical study.

Productive cough: A "wet" cough that may involve coughing up mucus.

Protocol: The written description of a clinical study that includes the study's objectives, design, and methods. It may also include relevant scientific background and statistical information.

Publications: Published scientific articles or abstracts about a clinical study. A publication reference, also called a citation, may be submitted to **ClinicalTrials.gov** at any time.

Puffer: Alternate term for inhaler or metered dose inhaler.

Pulmonary: Relating to the lungs.

Pulmonary function tests (PFTS) (also referred to as lung function tests): A test or series of tests that measure many aspects of lung function and capacity.

Pulse oximetry: A test in which a device that clips on the finger measures the oxygen level in the blood.

Quality of life: The standard of health, comfort, and happiness of a person or group.

Randomized controlled trial: A clinical trial in which participants are randomly assigned to an experimental or control group to compare the intervention being studied to an established standard (the control).

Rescue medication: A medication used for the quick relief of asthma symptoms.

Research objective: Describes what the research is trying to achieve and provides direction for the project.

Respiration: The process of breathing which includes the exchange of gases (oxygen and carbon dioxide) in the blood, the taking in and processing of oxygen, and the delivery of carbon dioxide to the lungs for removal. See also *inhalation* and *exhalation*.

Risk factor: A characteristic that increases a person's chance of developing a disease.

Secondary outcome measure: A planned outcome measure in the protocol that is not as important as the primary outcome measure but is still of interest in evaluating the effect of an intervention. Most clinical studies have more than one secondary outcome measure.

Serious adverse event: An adverse event that results in death, is life-threatening, requires inpatient hospitalization or extends a current hospital stay, results in an ongoing or significant incapacity or interferes substantially with normal life functions, or causes a congenital anomaly or birth defect. Medical events that do not result in death, are not life-threatening, or do not require hospitalization may be considered serious adverse events if they put the participant in danger or require medical or surgical intervention to prevent one of the results listed above.

Single blind masking: A type of masking in which one party involved in the clinical trial—either the investigators or participants—does not know which participants have been assigned which interventions.

Single group design: Describes a clinical trial in which all participants receive the same intervention.

Sinuses: Air pockets inside the bones of the head and face that link to the nose.

Sinusitis: Inflammation of the sinuses resulting in symptoms such as a runny or stuffed nose and facial pain.

Spacer (sometimes called holding chambers): A chamber that is used with a metered dose inhaler to help the medication get into the airways better. Spacers also make metered dose inhalers easier to use.

Spirometry: A basic pulmonary function test that measures how much and how fast air moves out of the lungs.

Sponsor (lead): The sponsor is the organization or person who oversees the clinical study and is responsible for analyzing the study data.

Sponsor-investigator: The person who both initiates and conducts the clinical study.

Sputum: Mucus or phlegm.

Steroid: Medication that reduces swelling and inflammation. Comes in pill, injected, and inhaled forms. Also called corticosteroid.

Study arm: A group of participants in a clinical study who receive the same intervention.

Study design: The investigative methods used in the clinical study. For interventional studies, these include primary purpose, intervention model (design), masking (or blinding), and allocation.

Study record: An entry on **ClinicalTrials.gov** that contains summary protocol information about a clinical study, such as recruitment status, eligibility criteria, contact information, and in some cases, summary results.

Study subject: A research participant that is examined and observed by researchers.

Study type: Describes the nature of a clinical study. Study types include interventional studies (or clinical trials), observational studies, and expanded access.

Supportive care: Patient care focused on the prevention or treatment of symptoms, side effects, and emotional and social issues related to a disease and its treatment.

Symptom: What is experienced as a result of a disease or illness, like pain, cough, or shortness of breath.

Theophylline: A long-term control medication that opens the airways and helps prevent and relieve bronchospasm.

Therapeutic: Relating to or used for the treatment of a disease, illness, or problem.

Time frame, outcome measure: The points in time at which an outcome measure is assessed. These times are planned before the clinical study starts and are listed in the protocol.

Title acronym: The acronym or initials used to identify a clinical study, if provided. For example, the title acronym for the Women's Health Initiative is WHI.

Trachea: The main airway (windpipe) supplying air to both lungs.

Treatment: Medical care given for a disease, illness, or injury.

Triggers: Things that cause asthma symptoms or make them worse.

Vaccine: A shot that protects the body from a specific disease by stimulating the body's own immune system.

Wheezing: The high-pitched whistling sound of air moving through narrowed airways.

Federal Resources and Agencies

Agency for Healthcare Research and Quality (AHRQ) at <https://www.ahrq.gov/>

AHRQ National Quality Measures Clearinghouse at <https://www.qualitymeasures.ahrq.gov/>

AHRQ National Guideline Clearinghouse at <https://www.guideline.gov/>

Centers for Disease Control and Prevention (CDC) at <https://www.cdc.gov/>

Centers for Disease Control and Prevention – National Center for Environmental Health (NCEH) at <https://www.cdc.gov/nceh/default.htm>

Centers for Medicare & Medicaid Services (CMS) at <https://www.cms.gov/>

ClinicalTrials.gov at <https://clinicaltrials.gov/>

Department of Health and Human Services (DHHS) at <https://www.hhs.gov/>

Department of Housing and Urban Development (HUD) at <https://portal.hud.gov/hudportal/HUD>

Environmental Protection Agency (EPA) at <https://www.epa.gov/>

Food and Drug Administration (FDA) at <http://www.fda.gov/>

Health Resources and Services Administration (HRSA) at <https://www.hrsa.gov/index.html>

National Center for Health Statistics (NCHS) at <https://www.cdc.gov/NCHS/>

National Institutes of Health (NIH) at <https://www.nih.gov/>

National Institute of Allergy and Infectious Diseases (NIAID) at <https://www.nih.gov/about-nih/what-we-do/nih-almanac/national-institute-allergy-infectious-diseases-niaid>

National Heart, Lung, and Blood Institute (NHLBI) at <https://www.nhlbi.nih.gov/>

National Library of Medicine (NLM) at <https://www.nlm.nih.gov/>

National Quality Measures Clearinghouse (NQMC) at <https://qualitymeasures.ahrq.gov/>

Occupational Safety and Health Administration (OSHA) at <https://www.osha.gov/>

Patient-Centered Outcomes Research Institute (PCORI) at <http://www.pcori.org/>

Professional Societies

American Academy of Allergy, Asthma & Immunology (AAAAI) at <http://www.aaaai.org/conditions-and-treatments/allergies/food-allergies>

American Academy of Family Physicians (AAFP) at <http://www.aafp.org/home.html>

American Academy of Pediatrics (AAP) at <https://www.aap.org/en-us/Pages/Default.aspx>

American Association for Respiratory Care (AARC) at <http://www.aarc.org/>

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American College of Allergy, Asthma & Immunology (ACAAI) at <http://acaai.org/allergies/types/food-allergy>

American College of Physicians (ACP) at <https://www.acponline.org/>

American Osteopathic Association (AOA) at <http://www.osteopathic.org/Pages/default.aspx>

American Thoracic Society (ATS) at <http://www.thoracic.org/>

Association of Asthma Educators (AAE) at <http://www.asthmaeducators.org/>

National Association of School Nurses (NASN) at <http://www.nasn.org/>

Other Relevant Associations, Organizations, and Coalitions

Alliance for Health Reform at <http://www.allhealth.org/>

American Lung Association (ALA) at <http://www.lung.org/>

American Public Health Association (APHA) at <http://www.apha.org/>

Association of State and Territorial Health Officials (ASTHO) at <http://www.astho.org/>

Asthma and Allergy Foundation of American (AAFA) at <http://www.aafa.org/>

Brookings Institution at <https://www.brookings.edu/>

National Academies of Science, Engineering & Medicine - Health & Medicine Division at <http://www.nationalacademies.org/hmd/>

Health Information and the Law at <http://www.healthinfoweb.org/federal-law>

National Association of County & City Health Officials (NACCHO) at <http://www.naccho.org/>

National Association of State Medicaid Directors (NASMD) at <http://medicaiddirectors.org/>

National Conference of State Legislatures (NCSL) at <http://www.ncsl.org/>

National Governors Association (NGA) at <https://www.nga.org/cms/home.html>

National Health Council (NHC) at <http://www.nationalhealthcouncil.org/>

National Network of Public Health Institutes (NNPHI) at <https://nnphi.org/>

National Quality Forum (NQF) at <http://www.qualityforum.org/Home.aspx>



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